

## **PART 5 - GENERAL DUTIES OF RIDERS**

### ***Introductory***

#### **30. Application of Part 5**

30.1 Except where the context otherwise requires, this Part applies to any Person who is

30.1.1 a Jockey,

30.1.2 a Person who holds an Amateur Rider's Permit granted by the Authority, and

30.1.3 an Overseas Rider who rides in a race under these Rules,

and who is not suspended from riding by the Authority or by any other Recognised Racing Authority.

30.2 Such Persons are referred to in this Part as riders.

### **Changes to riding schedule**

#### **31. Duty to notify changes to riding schedule**

31.1 Subject to Paragraph 31.2, where a Rider is unable to ride the horse for which he has been declared under Rule (F)92 he must notify the Clerk of the Course as soon as possible (normally by telephone) and with an explanation.

31.2 Where

31.2.1 a Rider is declared to ride at a meeting on a given day,

31.2.2 one of his declared rides is subsequently unable to run, and

31.2.3 the Rider is then engaged to take a ride at another meeting for which he has not previously been declared,

the Rider must inform The Racing Calendar Office at the earliest opportunity of all his declared rides which he will not be riding on that day.

### ***The restricted period***

#### **32. Duty to remain on racecourse property during restricted period**

32.1 This Rule applies to all Riders

32.1.1 at any race meeting at which they are present in their capacity as such, and

32.1.2 for the period that starts half an hour before the advertised time of the first race and ends when the last race has started.

32.2 The period specified in Paragraph 32.1.2 is referred to in this Manual as the Restricted Period.

32.3 No Rider may leave and return to Racecourse Property at any time during the Restricted Period without first obtaining the permission of the Clerk of the Scales or a Stipendiary Steward.

32.4 The Stewards may decide not to take Disciplinary Action against a Rider for failure to comply with Paragraph 32.3 if the Rider satisfies the Stewards that the failure was due to circumstances which they consider acceptable.

#### **33. General restriction on mobile telephone use during restricted period**

33.1 No Rider may during the Restricted Period

33.1.1 use a Mobile Telephone in the riders' changing rooms or elsewhere on Racecourse Property,  
or

33.1.2 cause one to be so used,

except to the extent permitted by the following provisions of this Rule.

33.2 Paragraph 33.1 prohibits the use of a Mobile Telephone for

33.2.1 sending any out-going text message, or

33.2.2 receiving any incoming call apart from when

33.2.2.1 the Trainer of a horse the Rider is engaged to ride is not present on the course, and

33.2.2.2 the Trainer's representative hands his Mobile Telephone to the Rider in the phone zone for the Trainer to speak directly to the Rider.

33.3 But Paragraph 33.1 does not prohibit use of a Mobile Telephone

33.3.1 in the circumstances specified by Rules 34 and 35, or

33.3.2 where the use is authorised by an official of the Authority under Paragraph 33.4 or

33.3.3 where a Rider is not riding at the meeting and has not entered the Riders' Changing rooms.

33.4 An official of the Authority may, on request, authorise a Person to leave his Mobile Telephone switched on if the official is satisfied that the Person has personal concerns (such as a family illness) which make it important for him to be contacted easily.

33.5 Except when being used in circumstances permitted by Paragraphs 33.2, 33.3 or 33.4, a Mobile Telephone shall be regarded as being in use if it is switched on.

33.6 In this Rule and Rules 34 and 35

### **Mobile Telephone**

33.6.1 includes any other appliance, apparatus, instrument or equipment capable of receiving or transmitting information, but

33.6.2 does not include equipment whose only function is to receive public broadcast services;

**Phone Zone** means the area in the Weighing Room which is designated for the use of Mobile Telephones.

## **34. Permitted use of registered mobile telephones: jockeys**

34.1 A Jockey may make an outgoing call from his Mobile Telephone during the Restricted Period but only if

34.1.1 the number of the Mobile Telephone has been registered, and

34.1.2 the call is made in the phone zone.

34.2 A Jockey who has registered a Mobile Telephone number under this Rule may check the Mobile Telephone for incoming messages (including incoming text messages) in the phone zone.

34.3 The Jockey must, on request, provide to the Authority fully itemised telephone billing accounts for the Restricted Period, which must include the last entry on those accounts before the commencement of the Restricted Period and the first entry on those accounts after the end of the Restricted Period.

34.4 The Authority may refuse to register the Mobile Telephone number unless the Authority is satisfied that the applicant is able to obtain from the service provider for the Mobile Telephone full details of all outgoing calls and text messages.

34.5 Where the number is registered

34.5.1 a Jockey who changes his number must register the new number and service provider by notifying

34.5.1.1 an official of the Authority at the first meeting at which he is due to ride following the change, or

34.5.1.2 the Authority's Integrity, Legal and Risk Department or the Professional Jockeys Association within 7 days, whichever is the earlier, and

34.5.2 an official of the Authority may check the Mobile Telephone at any time to ensure the number being used matches the registered number.

## **35. Permitted use of unregistered mobile telephones**

35.1 This Rule applies to any Person who is

35.1.1 a Person who holds an Amateur Rider's Permit granted by the Authority, and

35.1.2 an Overseas Rider.

35.2 A Person specified in Paragraph 35.1 may make an outgoing call from his Mobile Telephone during the Restricted Period but only if

35.2.1 he makes the call in the Phone Zone in the Weighing Room, and

35.2.2 he records it in the log book in the Phone Zone which is provided by the Authority.

35.3 Each log book entry for an outgoing call must state

35.3.1 the caller's name;

35.3.2 the time at which the call was made; and

35.3.3 the name or number of the Person to whom the call is made.

35.4 A Person specified in Paragraph 35.1 may check his Mobile Telephone for messages (including incoming text messages) in the Phone Zone.

## ***Equipment***

### **36. Safety vests, skull caps and saddles**

36.1 At any time when a Rider is mounted on a horse on Racecourse Property or in any other place where the horse is in the care or control of a Trainer acting in his capacity as such, the Rider

36.1.1 must wear a skull cap and a safety vest, and

36.1.2 must ensure that any skull cap or safety vest worn by him is in a serviceable condition (in particular, a skull cap that has been subjected to a severe impact, or has been worn by a Rider suffering concussion, shall not be regarded as being in a serviceable condition). (See Rule (C)25.1 if an Apprentice Jockey or Conditional Jockey)

36.2 A Rider must not bring a modified safety vest onto Racecourse Property.

36.3 A Rider's skull cap and a safety vest must be of such design and fitted in such a way as to meet the requirements specified in Part 1 of Schedule 2.

36.4 A Rider must ensure the fit condition of any saddle used by him. (See Rule (C)44.1 if an Apprentice Jockey or Conditional Jockey)

For this purpose, the saddle comprises the saddle itself, the girth, the surcingle, the stirrup irons, and the leathers or webs.

### **37. Whips used for racing**

37.1 No Rider may ride in any race unless he is carrying a whip approved by the Authority that complies with the specifications contained in Part 2 of Schedule 2.

37.2 Other than adjustments to the handle/grip, an approved whip must not be modified in any way (for example, by the addition of tape to the flap or shaft).

37.3 No substitute for a whip may be carried in any race.

37.4 A whip approved for racing in steeple chases or hurdle races may be carried in such races or in flat races, but a whip approved for flat racing may be carried in flat races only.

### **38. Other requirements as to equipment etc for racing**

38.1 No Rider shall ride in a race wearing spurs

38.2 No Rider may ride in a race whilst he is wearing spectacles.

38.3 A Rider who wears contact lenses must use soft contact lenses.

38.4 Where there is a failure of his equipment between leaving the Parade Ring and the start of the race, a Rider must

38.4.1 undertake any repairs that may be possible with the assistance of such spare tack as may be available at the start, and

38.4.2 inspect the spare tack and judge for himself the safety of any repair,

and, if he is in doubt as to the safety of any repair, he must not start.

38.5 A Rider must ensure that the girths are correctly adjusted.

38.6 An Apprentice Jockey or Conditional Jockey (or an equivalent Overseas Rider) must have his girths checked at the start.

38.7 A Rider must ensure that all blindfolds have been removed from the horse before the start of the race.

38.8 Where a horse runs with ear plugs of any type, the plugs must not be removed during the race.

38.9 A Rider must not wear any device or equipment in a race unless such device or equipment has been approved by the Authority.

### **39. Approval of advertising on clothing or equipment**

39.1 No Rider may carry any form of advertising material on any part of his clothing or equipment on the racecourse except to the extent approved by the Authority.

39.2 The Authority may, on an application made to it and in accordance with the Code of Conduct, approve a Rider's sponsorship agreement in such circumstances as it considers appropriate.

39.3 A Rider may apply for the Authority's approval by sending a copy of the sponsorship agreement to The Racing Calendar Office, together with the appropriate application fee specified in Schedule (A)1.

39.4 A sponsorship agreement may be approved subject to such restrictions or conditions as the Authority considers appropriate and, if the agreement is approved, it will be entered on the register of sponsorship agreements maintained by the Authority.

39.5 Registration under Paragraph 39.4 shall become effective 7 days following publication of the entry on the Racing Administration Internet Site.

### ***The race***

### **40. Requirements before leaving the Weighing Room**

40.1 A Rider must consult the plan of the racecourse in the Weighing Room and acquaint himself in advance with the correct course over which he is to ride in a race.

40.2 Where the Rider is riding in a flat race, he must ascertain the place allotted to him by the draw before leaving the Weighing Room.

### **41. Duty of declared rider to weigh out**

41.1 A Rider who has been declared to ride under Rule (F)92 must weigh out for each race in which he is due to ride.

41.2 The Stewards may decide not to take Disciplinary Action against a Rider for failure to comply with this Rule if the Rider satisfies the Stewards that the failure was due to any of the circumstances specified in Rule (B)25.3 (late change of rider).

### **42. Weighing out**

42.1 This Rule applies to a Rider when weighing out.

42.2 The Rider must present to the Clerk of the Scales

42.2.1 his safety vest,

42.2.2 his silk cap, and

42.2.3 any blinkers, hood, visor, eyeshield, eyecover or number cloth which he is to carry in the race but which are excluded from the scale (by virtue of Paragraphs 42.4.6 to 42.4.10 and 42.4.17).

42.3 Where a Rider

42.3.1 intends to carry over-weight, he must declare the amount, and

42.3.2 is in doubt as to his proper weight, he may declare the weight he intends to carry.

42.4 The Rider must put into the scale and include in his weight everything that the horse is to carry in the race, apart from

42.4.1 skull cap,

42.4.2 whip,

42.4.3 bridle,

42.4.4 rings,

- 42.4.5 plates,
- 42.4.6 blinkers,
- 42.4.7 hood,
- 42.4.8 visor,
- 42.4.9 eyeshield,
- 42.4.10 eyecover,
- 42.4.11 anything worn on the horse's legs,
- 42.4.12 breast plate,
- 42.4.13 breast girth,
- 42.4.14 martingale,
- 42.4.15 muzzle,
- 42.4.16 neck strap,
- 42.4.17 number cloth,
- 42.4.18 sheepskin cheek pieces, and
- 42.4.19 tongue strap.
- 42.4.20 ear plugs, and
- 42.4.21 silk cap.

42.5 Subject to Rule (F)143, no Rider may weigh out if he will be carrying 4lbs or more overweight.

42.6 No Rider shall weigh out or attempt to weigh out for a race when not wearing an approved safety vest.

### **43. Duties following weighing out**

43.1 After weighing out for a race, a Rider

43.1.1 may not change equipment or clothing unless he presents himself to the Clerk of the Scales to be re-weighed, and

43.1.2 must ensure that his saddle

43.1.2.1 is not left unattended,

43.1.2.2 is not taken back into the riders' changing room, and

43.1.2.3 is handed over as soon as possible to the Trainer (or his representative) of the horse he is to ride.

43.2 A Rider will be reported to the Stewards if he fails to comply with any of the requirements specified in Paragraph 43.1.

43.3 Where a Rider has been weighed out for a particular horse, he must ride that horse in the race.

43.4 The Stewards may decide not to take Disciplinary Action against a Rider for failure to comply with Paragraph 43.3 if the Rider satisfies the Stewards that the failure was due to

43.4.1 accident,

43.4.2 illness,

43.4.3 withdrawal of the horse by the Starter in any case other than where the Rider refuses to ride, or

43.4.4 any other circumstances which the Stewards consider to be acceptable.

### **44. General conduct at the start**

44.1 On arrival at the start, a Rider must immediately place himself under the control of the Starter and must obey any order given by the Starter or the Assistant Starter.

44.2 Unless the Starter orders otherwise, a Rider in a flat race must go into and start from the place allotted to him by the draw.

44.3 Where the race starts from starting stalls, the Rider may not request at the start a late load for a horse.

44.4 The Rider must not engage in any misconduct at the start.

44.5 Examples of misconduct by a Rider include

44.5.1 attempting to line up or taking a position for the start before signalled by the Starter to do so;

44.5.2 causing or allowing a horse in a race started from a starting gate to go beyond the marker

poles prior to the start being effected;

44.5.3 failing to comply with the procedures set out in Schedule (B)5 Part 3.

44.6 A failure to comply with Paragraph 44.4 may result in the Rider being reported to the Stewards by the Starter.

44.7 No report will be made in respect of conduct falling within Paragraph 44.5.2 in any case where the Starter considers that the circumstances of the conduct were outside the reasonable control of the Rider.

## **45. Riding to achieve the best possible placing**

45.1 A Rider must

45.1.1 ride his horse throughout the race in such a way that he can be seen to have made a genuine attempt to obtain from his horse timely, real and substantial efforts to achieve the best possible placing, and

45.1.2 take and be seen to take all other reasonable and permissible measures throughout the race, however it develops, to ensure the horse is given a full opportunity to achieve the best possible placing.

45.2 For the purposes of Paragraph 45.1, whilst it is not necessary for the Rider to use the whip, the Rider must give his horse at least a hands and heels ride.

45.3 But the Rider must pull up the horse where

45.3.1 it is lame or injured, or

45.3.2 it would be contrary to the horse's welfare to continue riding out because the horse

45.3.2.1 has no more to give or is 'tailed off' through fatigue, or

45.3.2.2 has a problem which is materially affecting its performance.

45.4 Where the horse is lame or injured, the Rider must dismount immediately and must not ride to a finish.

### ***After the race***

## **46. Pulling up and dismounting after the race**

46.1 Subject to Paragraph 46.4, the Rider must not dismount before reaching the place specified in Paragraph 46.2 or 46.3.

46.2 The Rider of a horse placed first, second or third in a race must ride the horse to the place appointed for unsaddling.

46.3 The Rider of any other horse may dismount within a reasonable distance of the place appointed for unsaddling.

46.4 Where the Judge has not announced his decision before the Riders return to weigh in, the Riders may dismount either inside the place appointed for unsaddling the winner or within a reasonable distance of it.

46.5 The Rider

46.5.1 must remove his own saddle unless Paragraph 46.6 applies, and

46.5.2 must not accept anything from any Person, or discard anything that the horse has carried in the race, which may affect the weight upon weighing-in.

46.6 The Trainer (or his representative) may remove the saddle if the Rider is prevented from doing so by illness, accident or other extraordinary circumstances but, where the Rider is able to present himself to be weighed-in, the saddle must be handed to the Rider intact before weighing-in takes place.

46.7 A Rider who has failed to complete the course must report the reason to the Clerk of the Scales.

46.8 The Stewards may decide not to take Disciplinary Action against a Rider for failure to comply with this Rule if the Rider satisfies the Stewards that the failure was justified by the circumstances.

## **47. Weighing-in**

47.1 Each Rider who has ridden in the race must, without delay after the race

47.1.1 present himself with his saddle to the Clerk of the Scales for weighing-in, and

47.1.2 make any report required by Rule 48 or 49.

47.2 Where a Rider is prevented from riding back to weigh in by reason of an accident or illness by which he or his horse is disabled, he may walk or be carried to the scales.

47.3 Where a Rider is required to weigh in he must, subject to Paragraph 47.4, put into the scale and include in his weight everything that the horse has carried in the race.

47.4 For the purposes of Paragraph 47.3, the Rider must exclude all items listed in Rules 42.4.1 to 42.4.21 that were excluded from the scale for weighing out.

47.5 A Rider in a race must remain within the vicinity of the Weighing Room until five minutes have elapsed after the announcement of 'Weighed In' has been given for the race.

47.6 The Race Manual (B) makes provision for

47.6.1 a Rider's horse to be disqualified if the Rider does not weigh in (see Rule (B)14.2.3 (races already run: disqualification of horses)), and

47.6.2 the handling of any discrepancies there may be with the weight at which a Rider weighed out (see Rule (B)67 (procedure for weighing-in)).

## **48. Making a report of gurgling by horse during a race**

48.1 This Rule applies in respect of any race during which his horse was gurgling (such as by choking, choking up or swallowing its tongue).

48.2 The Rider must report the gurgling

48.2.1 to a Veterinary Officer prior to weighing in, or

48.2.2 if he is not available, to the Stipendiary Steward or the Clerk of the Scales on weighing in.

48.3 On dismounting, the Rider must inform the Trainer (or his representative) of the gurgling so that the Trainer may consider whether he is required to make a report under Rule (C)34 (post-race reports of matters materially affecting a horse's performance).

48.4 In this Rule **Veterinary Officer** means a registered veterinary surgeon engaged by the Authority.

## **49. Post-race reports to Stewards**

49.1 This Rule applies where anything (other than a matter falling within Rule 48) occurred during a race which a Rider considers may have materially affected the performance of the horse.

49.2 The following are examples of matters that must be reported under this Rule

49.2.1 interference leaving the stalls;

49.2.2 interference suffered in running;

49.2.3 horse hanging;

49.2.4 saddle slipped;

49.2.5 equipment failure;

49.2.6 bit slipped through mouth;

49.2.7 lost action;

49.2.8 not face blinkers/visor;

49.2.9 not face kickback (AWT);

49.2.10 never travelling;

49.2.11 in relation to flat races only, horse had no more to give;

49.2.12 in relation to flat races only, horse tailed off (giving the reason, if possible).

49.3 On dismounting, the Rider must inform the Trainer (or his representative) of his intention to report the matter to the Stewards and

49.3.1 if the Trainer indicates that he intends to report the matter himself, the Rider must inform the Stewards accordingly, or

49.3.2 otherwise, he must proceed with the report in accordance with Paragraphs 49.4 and 49.5.

49.4 If a report is to be made by a Person who

49.4.1 is an Apprentice Jockey, a Conditional Jockey (or an equivalent Overseas Rider) or an Amateur Rider, and  
49.4.2 has ridden fewer than 10 winners,

that Person must also obtain the agreement of the Trainer (or his representative) before making the report.

49.5 Any report by the Rider of the matter he considers affected the horse's performance must be made, in the first instance, to the Stipendiary Steward in the Weighing Room and, if he is not available, the Rider must

49.5.1 inform the Clerk of the Scales (or in his absence the Starter or Judge) that he wishes to make a report, and

49.5.2 if none of these is available, report to the Stewards' Room.

49.6 A Rider in a flat race must also make a report where he did not ride in such a way as to achieve the best possible placing (as required by Rule 45).

49.7 Each report will be considered by the Stewards, posted on racecourse notice boards and handed to the press and, where the report relates to a horse which was prominent in the betting market, it may be announced over the public address system.

## **50. Reporting accidents occurring in a race**

50. A Rider must report immediately to the Racecourse Medical Officer in all cases where he falls or meets with an accident whilst riding in a race run under these Rules.

## **51. Declaration of unfitness to ride**

51.1 If a Rider has been declared

51.1.1 unfit to ride by a Racecourse Medical Officer, or

51.1.2 unfit to ride in accordance with the Regulations for Point to Point Steeple Chases, or

51.1.3 unfit to ride in accordance with the Regulations for Arabian Horse Racing.

he may not weigh out or attempt to weigh out until he is passed fit to ride by the Chief Medical Adviser or a Racecourse Medical Officer.

51.2 Where the declaration of unfitness to ride specifies a minimum period before a Rider can resume riding, the Rider must not be passed fit to ride until that period has expired.

51.3 If a Rider has been declared unfit to ride by the Chief Medical Adviser he must not weigh out or attempt to weigh out until he is passed fit to ride by the Chief Medical Adviser.

## ***Miscellaneous***

## **52. Duty to report to Stewards' room**

52. A Rider who is requested, over the internal public address system or by a Stipendiary Steward, to report to the Stewards' Room must do so as quickly as possible.

## **53. Betting and betting contacts: professional riders**

53.1 This Rule applies to Professional Riders only.

53.2 A Professional Rider must not

53.2.1 bet or lay a horse to lose any race with a Betting Organisation,

53.2.2 instruct another Person to do so, or

53.2.3 receive the whole or part of any proceeds of such a bet or lay.

53.3 A Professional Rider must not

53.3.1 associate on Racecourse Property with a Betting Organisation (or its representative), or

53.3.2 communicate directly or indirectly from such property with such an organisation (or its representative).

53.4 Paragraph 53.3 does not apply to any association or communication by the Professional Rider with the organisation (or representative) which

53.4.1 is part of the normal running of a race meeting (such as prize-giving and meeting sponsors),  
53.4.2 is made with the owner of a horse for whom the Professional Rider is declared to ride, or  
53.4.3 is expressly contemplated by Schedule (A)5 (information regarded as in the public domain).

53.5 A Professional Rider must not be present in the betting ring during a race meeting unless he can satisfy the Authority or the Stewards that he had good reason to be there.

## **54. Betting: amateur riders**

54.1 This Rule applies to Amateur Riders only.

54.2 An Amateur Rider must not

54.2.1 bet or lay a horse to lose a race with a Betting Organisation in which the Amateur Rider is riding,

54.2.2 instruct another Person to do so, or

54.2.3 receive the whole or part of any proceeds of such a bet or lay.

## **55. Accepting rewards: professional riders**

55.1 This Rule applies to Professional Riders only.

55.2 A Professional Rider must not accept or agree to accept any Consideration whatsoever in connection with a race (wherever run) from a Person other than

55.2.1 the owner of any horse ridden by him in the race,

55.2.2 a representative of the owner, or

55.2.3 the Breeder of the horse.

55.3 Paragraph 55.2 does not apply

55.3.1 to any trophy advertised in the conditions of the race, or

55.3.2 to any sponsorship agreement approved by, and registered with, the Authority.

55.4 In this Rule

**consideration** includes any fee, expenses, reward, gift, favour or benefit in kind;

**breeder** means a Person or entity recorded as such by the Stud Book authority of the country of foaling.

## **56. Accepting rewards: amateur riders**

56.1 This Rule applies to Amateur Riders only.

56.2 An Amateur Rider must not solicit, accept or agree to accept any Consideration for riding in a race.

56.3 **consideration** includes any fee, expenses, reward, gift, favour or benefit in kind but does not include any item listed in Rule 19.3.

## **57. Requirements in other Manuals applying to all riders**

57.1 A Rider must, in particular, make himself familiar with and comply with all other requirements of these Rules that apply to him directly.

57.2 For example

57.2.1 Part (A)4 imposes duties and prohibitions that apply to all Persons subject to these Rules;

57.2.2 in the Race Manual (B)

57.2.2.1 Part (B)2 makes provision as to weighing out procedures, late changes of Rider and procedures after weighing out,

57.2.2.2 Part (B)3 contains provisions about the start of a race,

57.2.2.3 Part (B)4 contains provisions about the race,

57.2.2.4 Part (B)6 deals with weighing-in procedure, and

57.2.2.5 Part (B)8 imposes a general duty to comply with instructions given by Stewards at a race meeting;

57.2.3 in the Race Administration Manual (F)

57.2.3.1 Rule (F)94 imposes restrictions as to the number of meetings that a Rider is

permitted to ride in at any seven day period, and  
57.2.3.2 Part (F)8 specifies circumstances in which a Rider is entitled to claim weight allowances.

57.3 This Rule is without prejudice to the general provisions as to compliance with, and deemed knowledge of, these Rules, as specified in Rule (A)2 (persons subject to these Rules).