

## **PART 1 - THE STEWARDS**

### **1. Approval by the Authority of Stewards and Chairmen**

- 1.1 No Person may act as a Steward, or as Chairman of Stewards, at a meeting unless he has been approved under this Rule.
- 1.2 The Authority will approve and appoint Persons to act as Stewards and Chairmen of Stewards and shall adopt such procedures as it considers appropriate regarding the necessary qualification to be appointed.
- 1.3 The Authority will produce annually a list of Persons so qualified (the List of Stewards).
- 1.4 The List of Stewards will show those qualified to act as a Chairman of Stewards.
- 1.5 The Authority may at any time withdraw its approval of a Steward or a Chairman of Stewards.
- 1.6 Where approval is withdrawn from a Person as a Chairman or a Steward, he may no longer act in that capacity.
- 1.7 Paragraph 1 does not apply in an emergency if approval cannot be obtained in time.

### **2. Requirements as to Stewards and Chairman for a meeting**

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  - 2.1 For each meeting the Authority shall appoint the Stewards to act, one of whom shall be appointed as Chairman.
  - 2.2 The Authority will appoint one or more Stipendiary Stewards to act at every meeting.
  - 2.3 In the event that no Chairman on the List of Stewards is available to act, a Stipendiary Steward shall be appointed to act as Chairman.

### **3. Procedure**

- 3.1 A quorum for the exercise of the powers of the Stewards under these Rules is the Chairman and any other two Stewards.
- 3.2 Decisions shall be reached by a simple majority.
- 3.3 A decision reached by majority is the decision of the Stewards and will be announced as such and no reference must be made then or subsequently to any minority or dissenting view.

### **4. General powers and duties at meetings**

- 4.1 The general functions of the Stewards at any meeting are to ensure when in attendance that racing is run in accordance with all provision made by or under these Rules.
- 4.2 In exceptional circumstances, the Stewards may
  - 4.2.1 make such variations as they consider appropriate to the arrangements for running any race at the meeting, and
  - 4.2.2 extend the time allowed for
    - 4.2.2.1 verifying the Declaration Sheet under Rule 23,
    - 4.2.2.2 weighing,
    - 4.2.2.3 declaring weight, and
    - 4.2.2.4 exhibiting the numbers.

- 4.3 In the case of emergency during a meeting, the Stewards may appoint a substitute to fill the office of an official for the meeting.
- 4.4. The Stewards may give such instructions to the Racecourse Managing Executive and the Clerk of the Course as they consider appropriate for the purposes of discharging their functions.

### **5. Access to all places used for the purposes of a meeting**

5. The Stewards must be given free access to all Racecourse Property

### **6. Horses**

- 6.1 The Stewards may
  - 6.1.1 prevent from running any horse which cannot be shown to be qualified under these Rules or under the conditions of the race;
  - 6.1.2 call for proof that a horse is not

- 6.1.2.1 in any respect ineligible to run,
- 6.1.2.2 nominated by a Disqualified Person, or
- 6.1.2.3 in the ownership or part-ownership of a Disqualified Person;

6.1.3 where they are not satisfied by proof called for under Paragraph 6.1.2, prevent the horse from running;

6.1.4 order an examination (including the taking of samples) by such Person or Persons as they consider appropriate of any horse

- 6.1.4.1 which has been declared to run under Rule (F)89, or
- 6.1.4.2 which is on the racecourse;

6.1.5 direct that the sampling is to include the taking and storage of samples for subsequent analysis,

6.1.6 require that the horse be kept in the official racecourse stables for more extensive examination for any period ending up to 24 hours after the time of the race which the horse ran or was entered to run,

6.1.7 if any Person prevents a horse which has been declared to run under Rule F)89 from being examined before the race in question, order the withdrawal of the horse from the race;

6.1.8 refer to the Authority any conduct preventing an examination, as described in Paragraph 6.1.4;

6.1.9 order the withdrawal from a race of any horse which has been declared to run under Part 2 where they have reason to be concerned about the medical or physical condition of the horse;

6.1.10 order the withdrawal from a race of any unruly horse as to which they have reason to be concerned;

6.1.11 order the withdrawal from a race of any horse which, for any reason, they consider is or will be unable to start without there being an unacceptable delay to the start of the race.

6.1.12 order the withdrawal from a race of any horse which takes charge of or dislodges its rider on the way to the start.

6.1.13 order the withdrawal from a race of any horse which has suffered a fall or other major incident either at or on its way to the start that may adversely affect its welfare.

6.2 Before making any order under Paragraph 6.1.9

6.2.1 the Stewards must have obtained the opinion of the Veterinary Officer at the meeting, and

6.2.2 the Veterinary Officer must have recommended that the horse be withdrawn on grounds of concern about its medical or physical condition.

6.3 The Stewards must immediately notify the Clerk of the Scales and the Starter in any case where a horse is withdrawn by order under Paragraph 6.1.10, 6.1.11 or 6.1.12.

6.4 Any horse withdrawn under Paragraph 6.1.9 or 6.1.13 will be suspended from running in future races in Great Britain for the period of 3 days starting with the day of the race.

## **7. Equipment**

7.1 The Stewards may

7.1.1 prohibit any equipment for use on a horse in a race which they consider to be unsuitable, unsafe or ineffective;

7.1.2 prohibit a Rider from using a particular whip, whether or not such whip complies with the specifications approved by the Authority;

7.1.3 order the seizure and destruction of any safety vest which has been modified in any way in contravention of Paragraph 7 of Schedule (D)2.

7.2 Where the Stewards prohibit the use of a whip under Paragraph 7.1.2, they must without delay submit a report to the Authority.

## **8. Riders**

8.1 The Stewards may

8.1.1 at any time order an examination by a Racecourse Medical Officer of any Rider who is declared to ride under Rule (F)92 or who has ridden in a race;

8.1.2 in the case of a Rider selected for testing for banned substances or notifiable medications in accordance with the procedures set out in Schedule (D)4 Part 4, grant him exemption from providing a sample;

8.1.3 at any time with justifiable cause order a Rider to submit himself to off-course testing for the presence of any banned substance or notifiable medication.

8.2 If the Racecourse Medical Officer considers it appropriate to do so, an examination under Paragraph 8.1.1 may include the taking from the rider's body of samples for subsequent analysis of blood, urine, breath, sweat, saliva, or any other fluid.

8.3 Where the Stewards make an order under Paragraph 8.1.3, the Authority's Chief Medical Adviser must be notified in confidence immediately in order for him to arrange off-course testing in accordance with the procedures under Schedule (D)4 Part 8 (this testing will normally take place within 24 to 48 hours of notification).

8.4 Any Rider who fails without good reason to comply with any order made under this Rule shall be taken to have contravened a requirement imposed on him by these Rules.

8.5 Racecourse Medical Officer means any registered medical practitioner who is engaged by the Racecourse Managing Executive to provide medical cover for Riders at a race meeting held at the racecourse.

## **9. Abandonment of a day's racing or of a race**

9.1 This Rule applies in relation to a day's racing or any race if

9.1.1 there are exceptional circumstances,

9.1.2 there is adverse weather, or

9.1.3 the ground conditions are such that the course is not safe.

9.2 The Stewards may

9.2.1 cancel a Parade,

9.2.2 at an All Weather Track fixture, cancel the requirement that horses should be brought into the Parade Ring before the race and order the horses to be mounted in the place appointed for saddling,

9.2.3 abandon a day's racing, or

9.2.4 abandon any race.

9.3 If the Stewards abandon a day's racing or abandon any race on the grounds specified in Paragraph 9.1, a certificate must be drawn up stating the time when the decision to abandon was arrived at and their summarised reasons for doing so.

9.4 The decision to abandon or omit any Obstacles can be taken at any time from 2 hours before the advertised time of the first race but only provided the Stewards, Trainers or Jockeys have initiated the relevant procedure for considering concerns about the safety of the course.

9.5 The certificate must be signed by two of the Stewards, or by one Steward and the Clerk of the Course, and without delay despatched to The Racing Calendar Office.

9.6 The Stewards may leave out or alter any Obstacles in the circuit of the course if their retention would necessitate the abandonment of the day's racing or a race, but the original advertised distance of a race must not be decreased.

9.7 If the Stewards

9.7.1 authorise the cancellation of a Parade,

9.7.2 authorise the omission of any Obstacles,

9.7.3 disapply the requirements of Rule 45 in respect of a race, or

9.7.4 vary in any way the programme as originally advertised,

they must without delay report to The Racing Calendar Office their reasons for doing so.

## **10. The race**

10.1 In exceptional circumstances, the Stewards may give permission for a race to be started in front of the starting post and within such distance of it as the Authority may from time to time direct.

10.2 Permission given under Paragraph 10.1 overrides any contrary provision concerning the distance of the

race, whether contained in the conditions of the race or in these Rules.

10.3 The Stewards may determine the result in the event that either the Judge or any substitute authorised under Rule 4.3 is unable to carry out his duties.

10.4 The Stewards may declare a race void in any case when

10.4.1 the start has been affected due to a faulty action of the starting stalls

10.4.2 the Starter has failed to declare a false start under Rule 38; and

10.4.3 the Stewards consider that the faulty action of the starting stalls has materially prejudiced the chances of a sufficient number of the horses running in the race to justify declaring the race void.

10.5 The Stewards may withdraw any horse in a race from starting stalls when

10.5.1 the horse has been prevented from starting due to a faulty action of the starting stalls when the start was effected, or

10.5.2 the horse is riderless at the time the start was effected.

## **11. Enquiries**

11.1 The Stewards may

11.1.1 determine all questions that arise in reference to racing at a race meeting, except as otherwise provided in these Rules and subject to any appeal made to the Authority under Part (A)7,

11.1.2 report to the Authority on the conduct of any Person or any other matter relating to racing, regardless of whether there is or may be a contravention of these Rules,

11.1.3 enquire into, regulate, control, take account of, and adjudicate on, the conduct of

11.1.3.1 all officials,

11.1.3.2 all Persons bound by these Rules, and

11.1.3.3 all Persons frequenting Racecourse Property for the purpose of the meeting.

11.2 For the purposes of Paragraph 11.1.1, it is irrelevant whether a question arises during the course of or subsequent to the meeting.

11.3 The Stewards will not entertain any disputes relating to bets.

11.4 By notices exhibited on the number board or elsewhere and by any form of public address system at the racecourse, the Stewards may state and announce

11.4.1 that an objection has been lodged,

11.4.2 its subject and nature,

11.4.3 their decision in respect of it, and

11.4.4 their decision in respect of any other matter coming within their jurisdiction.

11.5 The Stewards may communicate the contents of any such notice, statement or announcement to any of the following who are present at the racecourse

11.5.1 representatives of the media, or

11.5.2 representatives of a subscription service,

for publication or onward transmission by them.

11.6 Where any enquiry on a matter listed in Rule 73.2 is called for by the Stewards before the announcement of Weighed In has been given, this shall have the force and consequences of an objection and these Rules shall have effect as if an objection had been lodged.

## **12. Disciplinary action against a person for contravention of these Rules**

12.1 The Stewards may take Disciplinary Action against a Person under this Part if the Stewards

12.1.1 consider that the Person is guilty of misconduct, and

12.1.2 are satisfied that it is appropriate in all the circumstances to take action against him.

12.2 A Person is guilty of misconduct if, while he is subject to these Rules

12.2.1 he contravenes a requirement imposed on him by or under these Rules,

12.2.2 any provision of these Rules states that he is taken to have contravened any such requirement, or

12.2.3 any provision of these Rules states that he is liable to Disciplinary Action.

12.3 If the Stewards are entitled to take Disciplinary Action against a Person under Paragraph 12.1

12.3.1 they may impose on him any of the disciplinary penalties specified in Paragraph 12.4, and

12.3.2 more than one penalty may be imposed in respect of each contravention of a Rule.

12.4 The disciplinary penalties are

12.4.1 to impose a financial penalty not exceeding £15,000;

12.4.2 in the case of a Rider

12.4.2.1 to suspend him from riding at the racecourse where a contravention has been committed for any period up to 42 days;

12.4.2.2 to suspend him from riding for the day;

12.4.2.3 to caution him as to his future conduct in races.

12.5 Any suspension of a Rider under Paragraph 12.4.2 shall commence in accordance with the criteria specified in Schedule 1.

12.6 The Stewards may provide for any part of a period of suspension or part of a fine imposed by them to take effect or be payable as the case may be only if a referral results in a breach of the same or any Rule, or a pending appeal of a previous offence is unsuccessful.

12.7 For the purpose of Paragraph 12.6, an appeal will be considered unsuccessful if at its conclusion the person appealing is found in breach of the same or any Rule. In such a case deferred fines will be payable immediately on conclusion of the appeal and deferred periods of suspension shall be added to any period of suspension for the earlier offence and served as if it had been imposed at the same time.

### **13. Disciplinary action: supplementary**

13.1 Where a Rule requires a particular penalty, order or sanction, the Stewards must comply with the requirement.

13.2 The Stewards powers to take Disciplinary Action may be used only in relation to matters that arise in the course of, or concerning, the meeting for which the Stewards are appointed to act but this shall not prevent

13.2.1 any matter from being referred to the Authority under Rule 16, or

13.2.2 the Stewards from taking Disciplinary Action in any of the circumstances specified in Paragraph 13.3.

13.3 The circumstances are

13.3.1 that a matter has been adjourned from a previous meeting at the racecourse;

13.3.2 that a matter has been adjourned from a previous day of the same meeting;

13.3.3 that all Persons who may be liable to Disciplinary Action consent to the matter being dealt with by the Stewards;

13.3.4 that, after an enquiry into the running of a horse at the meeting, the Stewards consider that, in respect of an earlier running of the same horse at any racecourse in Great Britain, the Rider or Trainer failed to comply with any requirement, imposed on him by the Rider Manual (D) or Trainer Manual (C), to make an after-race report concerning the same horse's performance.

13.4 For the purposes of Paragraphs 13.3.1 and 13.3.2 it is irrelevant whether the same individuals were serving as Stewards at the previous meeting or on the previous day.

13.5 In determining what (if any) Disciplinary Action to take in respect of the contravention of a Rule, the Stewards may take account of any financial penalty that has already been imposed on a Person under Rule (F)96.

## **14. Races already run: disqualifying a horse and changing the placings**

14.1 The powers conferred by Paragraphs 14.2 and 14.3 are exercisable by the Stewards on an objection made to them under Rule 73.2.

14.2 The Stewards may disqualify a horse in relation to a race that has already been run

14.2.1 in any of the circumstances described in Part 4, or

14.2.2 in any circumstances described in Rule 73.2.

14.3 In the circumstances described in Rules 53, 54 or 55, the Stewards may make such changes to the placings of a horse in the race as the Stewards consider appropriate.

14.4 Paragraph 14.2.2 does not apply if the Rider satisfies the Stewards that

14.4.1 his failure to present himself was justified by reason of illness, accident or other extraordinary circumstances,

14.4.2 he weighed out at not less than his correct weight, and

14.4.3 the proper weight was carried throughout the race.

14.5 Where

14.5.1 a Person makes an error, or contravenes a Rule, in entering a horse for a race, and

14.5.2 the appropriate steps specified in Rule (F)78 (steps for correcting certain errors) for correcting the error or contravention have been taken,

the horse shall not be liable to disqualification on account of the error or contravention.

## **15. Powers to suspend a horse from running in future races**

15.1 The powers conferred by Paragraph 15.2 are exercisable by the Stewards in relation to any future races to be held at the racecourse where the race meeting for which the Stewards are acting is held.

15.2 The Stewards may suspend a horse from running for such period not exceeding 40 days as they may specify where

15.2.1 a Rider, Trainer or any other Person to whom Rule 58 applies is found to have contravened any provision of either Rule 58 or 59, or

15.2.2 a Trainer is found to have contravened his duties under Rule (C)45 (which requires trainers to give pre-race instructions to riders) as to the instructions to be given to Riders.

15.3 A suspension of a horse under Paragraph 15.2 shall commence on the day after the time for appealing has lapsed as provided for in Rule (A)78.1.

## **16. Making referrals to the Authority**

16.1 The Stewards may report a matter to the Authority when they consider that

16.1.1 there is a reasonable suspicion that a Person has contravened a requirement imposed on him by or under these Rules in a way that they consider ought to be considered by the Authority;

16.1.2 a Person has contravened a requirement imposed on him by or under these Rules and

16.1.2.1 the contravention ought to be considered by the Authority, or

16.1.2.2 a penalty, order or sanction ought to be imposed which exceeds the range available to the Stewards;

16.1.3 a Person present at the meeting (whether or not subject to these Rules) has behaved in a manner that the Stewards consider to be unacceptable.

16.2 The Stewards must refer any matter to the Authority in circumstances which the Authority has specified as being such as to require a referral.

16.3 On considering any matter referred to the Authority under this Rule, the Authority is not bound by any finding of the Stewards.

## **17. Power of Authority to correct decisions of Stewards**

17.1 The Authority may correct a decision of the Stewards where the Stewards have failed accurately to apply any mandatory provision in these Rules.

17.2 Where a correction is made under Paragraph 17.1

17.2.1 any Person concerned will be informed in writing of the corrected decision, and

17.2.2 if he objects, he may apply for the matter to be referred to a disciplinary panel under Part (A)5.

17.3 An application under Paragraph 17.2.2 must be made in writing to the Authority's Office before the end of the period of 48 hours starting with the day after that on which he is given notice of the corrected decision.

17.4 When that period ends on a day on which the office is closed, the application will be made in time if done by 5.00pm on the next day on which the Authority's Office is open.

17.5 The decision of the Authority shall stand unless (and until) a disciplinary panel decides otherwise.

## **18. Other powers of Authority as to Stewards' decisions**

18.1 If the Authority considers it appropriate to do so, it may cancel or reduce

18.1.1 any Disciplinary Penalty imposed by the Stewards, or

18.1.2 any decision of the Stewards to disqualify a horse, alter the placings or suspend a horse.

18.2 The Authority may extend the period of any suspension imposed by the Stewards where

18.2.1 an appeal is made to the Authority under Part (A)7, or

18.2.2 the Authority conducts a full enquiry into the case.