

## **PART 8 - HANDICAPPING, PENALTIES, QUALIFICATIONS AND ALLOWANCES**

### ***Handicaps***

#### **132. Making a handicap**

132.1 For the purposes of these Rules

a Handicapper is a person appointed by the Authority to be a handicapper for one or more race meetings;

a Handicap Rating is the number allocated to a horse by the handicapper on his assessment of that horse's performances.

132.2 In making a handicap in relation to a horse for the purposes of a Handicap Race, a Handicapper shall allot the weights to be carried by the horse in accordance with

132.2.1 the Handicap Rating of the horse, and

132.2.2 such of the following as apply to the race

132.2.2.1 where the race is a flat race, Rules 31 to 36,

132.2.2.2 where the race is a steeple chase or hurdle race, Rules 42 to 46,

132.2.2.3 where the race is for horses of more than one age, the appropriate weight for age allowances specified in Part 2, 3, 4 or 5 of Schedule 12,

132.2.2.4 where the race is for horses of a single age and any horse running in the race is foaled in the southern hemisphere in the period starting on July 1st and ending on December 31st, a deduction which represents the difference between, on the one hand, the appropriate weight for age allowance specified in Part 2 or 3 of Schedule 12 and, on the other, the appropriate weight for age allowance specified in Part 4 or 5 of that Schedule, and

132.2.2.5 where the race is for horses of more than one age, horses foaled in the period starting on January 1st and ending on June 30th in the southern hemisphere shall receive the appropriate weight for age allowances specified in Part 2 or 3 of Schedule 12.

#### **133. Publication of handicap ratings**

133.1 Handicap ratings shall be published on the Racing Administration Internet Site.

133.2 For the purpose of determining whether a horse qualifies for a race, a Handicap Rating which is published on Tuesday of a particular week shall, unless the Authority directs otherwise, apply for races closing on that Tuesday through to the following Monday.

#### **134. Publication of handicap weights for a race**

134.1 The weights for a Handicap Race which closes more than 6 days before running shall be published on the Racing Administration Internet Site and in The Racing Calendar.

134.2 The weights for any other Handicap Race shall be published on the Racing Administration Internet Site.

134.3 A weight may not be altered after publication except in accordance with Paragraphs 134.4 and 134.5.

134.4 The Authority may permit

134.4.1 a weight to be added for a horse where the horse was duly entered for the race but its name or weight was omitted from the Handicap, or

134.4.2 a correction to be made where an incorrect weight has been published.

134.5 But no change may be made under Paragraph 134.4 to published weights after the appropriate time, determined as follows

134.5.1 where the race has is a Scratching Deadline, the appropriate time is noon on the Friday before the first Scratching Deadline, and

134.5.2 where there is no Scratching Deadline, not later than 9.00a.m. on the day of declaration to

run under Rule 89.

### ***Penalties, allowances and qualifications***

#### **135. Penalties**

135.1 Schedule 13 contains provisions which have effect for the purposes of calculating penalties to be carried for winning a race.

135.2 Subject to Paragraphs 2 and 3 of Schedule 13, penalties for winning a race of a specific class shall be taken to mean the winning of a race as listed by the class specified in Schedule 2.

#### **136. Races relevant to calculation of penalties, allowances and qualifications**

136.1 For the purpose of calculating any allowance or qualification for a race, the term pattern races shall be taken to include all races which are regarded as, or are assessed as, being the equivalent of pattern races under Paragraphs 3 and 4 of Schedule 13.

136.2 In respect of any flat race, no account shall be taken in calculating any penalty or allowance of

136.2.1 any Private Sweepstakes,

136.2.2 the Newmarket Challenge Whip,

136.2.3 any Match,

136.2.4 any steeple chase or any hurdle race run under these Rules or the Rules of a Recognised Racing Authority,

136.2.5 any National Hunt Flat Race run in Great Britain or Ireland, or

136.2.6 any French AQPS race.

136.3 In respect of any flat race, no account shall be taken in calculating the qualification of a horse of

136.3.1 any Private Sweepstakes other than the Newmarket Challenge Whip,

136.3.2 any Match,

136.3.3 any steeple chase or any hurdle race run under these Rules or the Rules of a Recognised Racing Authority,

136.3.4 any National Hunt Flat Race run in Great Britain or Ireland, or

136.3.5 any French AQPS race.

136.4 In respect of any steeple chase or hurdle race, no account shall be taken in calculating any penalty or allowance or the qualification of a horse of

136.4.1 any flat race run under these Rules or the Rules of a Recognised Racing Authority,

136.4.2 any Private Sweepstakes,

136.4.3 the Newmarket Challenge Whip,

136.4.4 any Match,

136.4.5 any National Hunt Flat Race run in Great Britain or Ireland, or

136.4.6 any French AQPS race.

136.5 In respect of any race, if a horse

136.5.1 has not won a race,

136.5.2 has not won a race before a particular date, or

136.5.3 has not won a race of a specified value or distance,

no account shall be taken in calculating any penalty or allowance or the qualification of the horse of any wins in a Private Sweepstakes, other than the Newmarket Challenge Whip, or in a Match.

#### **137. Determining the value of a race**

137.1 For the purpose of calculating any penalty, allowance or qualification for a race, a horse shall be regarded as having won the sum of the total amount of Prize Money and Appearance Money which, in accordance with Rule 118 and Schedule 11, is distributed to the Owner, Trainer, Rider and stable of the horse.

137.2 The amount won is to be determined after

137.2.1 in the case of a dead-heat, dividing the prizes in accordance with Rule 120, and  
137.2.2 making any other adjustment required by Rule 121 or 122.

137.3 For the purposes of this Rule, no account shall be taken of

137.3.1 any payment not specifically related to the placing or performance of the horse in the race, including any supplementary payment which is conditional on circumstances additional to the horse's performance,

137.3.2 any prize which is given in the conditions of the race to a Trainer, Rider, stable or breeder other than as provided for in Rule 118 and Schedule 11,

137.3.3 any payment under Rule 131 in respect of the sponsorship of number cloths, or

137.3.4 any VAT on a payment under Paragraph 137.3.2 or 137.3.3.

137.4 The value of a race to the winner shall be published in The Official Form Book.

137.5 A Challenge Cup is not estimated in the value of a race.

137.6 **The Official Form Book** is the work designated as the Official Form Book by the Authority.

## **138. Determining the value of races and sales outside Great Britain and Channel Islands**

138.1 This Paragraph applies for the purpose of calculating

138.1.1 the value of races run out of Great Britain and the Channel Islands, and

138.1.2 the value of horses sold at public auction out of Great Britain and the Channel Islands (exclusive of any tax).

138.2 In assessing distances of races in kilometres, 200 metres shall be taken to equal one furlong.

138.3 The rates of exchange to the £ (sterling) to be used for the year in which the race was run or the horse sold shall be such as the Authority may specify.

138.4 The Authority may at any time

138.4.1 vary these rates of exchange, and

138.4.2 fix a ratio of points to money in respect of races run in countries where points rather than money are used to indicate the importance of a race.

138.5 The rates of exchange which are for the time being applicable are set out in Schedule 14.

### ***Weight allowances for horses***

## **139. Weight allowances for horses**

139.1 All weight allowances for a horse are cumulative unless otherwise specified.

139.2 Fillies and mares shall, unless the Authority directs otherwise, receive the following allowances

139.2.1 5lb in respect of a flat race which is not a flat Pattern Race, is not a flat Classified Stakes or is not a Rating Related Maiden Race;

139.2.2 3lb in respect of a flat Pattern Race;

139.2.3 7lb in respect of a steeple chase, hurdle race or National Hunt Flat Race which is not a Classified Stakes;

139.2.4 3lb in respect of a steeple chase or hurdle race Classified Stakes.

139.3 Subject to the minimum weights permitted for the race by Rules 29.2 or 41.2, horses foaled in the period starting on July 1st and ending on December 31st in the southern hemisphere shall receive the following allowances

139.3.1 in respect of a race for horses of more than one age, the appropriate southern hemisphere weight for age allowance in Part 4 or 5 of Schedule 12, and

139.3.2 in respect of a race for horses of a single age, a deduction of weight that represents the difference between, on the one hand, the appropriate weight for age allowance specified in Part 2 or 3 of Schedule 12 and, on the other, the appropriate weight for age allowance specified in Part 4 or 5 of that Schedule.

139.4 Paragraphs 139.2 and 139.3 do not apply to any Handicap.

139.5 No horse shall receive an allowance of weight, nor be relieved from extra weight, for having been beaten in one or more races, but this does not prohibit Maiden allowances or allowances to horses that have not won within a specified time, or have not won races of a specified value or distance.

139.6 No allowance of weight shall be made to any horse

139.6.1 for being the produce of a stallion or mare whose produce never won a race,

139.6.2 for being the first produce of a mare,

139.6.3 for being the produce of a stallion covering at, or under, a particular fee, or

139.6.4 for being half-bred.

### ***Weight allowances for riders***

#### **140. Weight allowances in flat races**

140.1 The Riders who may claim a weight allowance under this Rule are

140.1.1 an Apprentice Jockey,

140.1.2 any Overseas Rider whose authorisation to ride corresponds to an Apprentice Jockey's licence, and

140.1.3 any other Person, irrespective of age, who rides in a flat race in accordance with these Rules and who has not ridden the number of winners specified in Paragraph 140.2.1, 140.2.2 or 140.2.3.

140.2 In a flat race to which Paragraph 140.3 applies, a Rider listed in Paragraph 140.1 is entitled to claim a weight allowance of

140.2.1 7lb until he has won twenty races,

140.2.2 after that, 5lb until he has won fifty races, and

140.2.3 after that, 3lb until he has won ninety-five races.

140.3 This Paragraph applies to any flat race which is

140.3.1 a Handicap other than one classified as a Listed Race,

140.3.2 a Selling Race, or

140.3.3 a Weight-for-Age Race classed 3, 4, 5, 6 or 7 in accordance with Schedule 2,

but it does not apply if the race is confined to the Persons listed in Paragraph 140.1.

#### **141. Weight allowances in steeple chases, hurdle races and national hunt flat races open to professional riders**

141.1 In a race to which Paragraph 141.3 applies, a Rider is entitled to claim a weight allowance of

141.1.1 7lb until he has won twenty races,

141.1.2 after that, 5lb until he has won forty races, and

141.1.3 after that, 3lb until he has won seventy-five races.

141.2 In a race to which Paragraph 141.3 applies, a Conditional Jockey is, until he has won five races, also entitled to claim an additional allowance of 3lb when riding a horse trained by his employing Trainer.

141.3 This Paragraph applies to any steeple chase, hurdle race or National Hunt Flat Race which is open to professional Riders and which is

141.3.1 a Handicap other than the Grand National Steeple Chase,

141.3.2 a Selling Race,

141.3.3 a Weight-for-Age Race classed 3, 4 or 5 in accordance with Schedule 2, or

141.3.4 a National Hunt Flat Race classed 3, 4, 5 or 6 in accordance with Schedule (F)2,

but it does not apply if the race is confined to Conditional Jockeys and equivalent Overseas Riders.

#### **142. Weight allowances in steeple chases, hurdle races and national hunt flat races**

## **confined to amateur riders**

142.1 In a race to which Paragraph 142.2 applies, an Amateur Rider is entitled to claim a weight allowance of

142.1.1 7lb until he has won five races,

142.1.2 after that, 5lb until he has won ten races, and

142.1.3 after that, 3lb until he has won twenty races.

142.2 This Paragraph applies to any steeple chase, hurdle race or National Hunt Flat Race which is confined to Amateur Riders and which is

142.2.1 a Handicap,

142.2.2 a Selling Race, or

142.2.3 a Weight-for-Age Race classed 3, 4, 5 and 6 in accordance with Schedule 2.

## **143. Rider's allowances: supplementary provisions**

143.1 For the purposes of this Rule, **Rider's Allowance** means an allowance in accordance with Rule 140, 141 or 142.

143.2 Nothing in this Part restricts the races to be taken into account in

143.2.1 calculating any rider's allowance under Rules 140 to 142, or

143.2.2 determining qualification for any race which is confined to Apprentice Jockeys, Conditional Jockeys or Amateur Riders on the flat,

and, accordingly, all races must be taken into account for those purposes apart from Arabian horse races and point to point steeple chases.

143.3 Any Rider who claims a rider's allowance must ensure that he claims the correct weight.

143.4 Any Rider who is entitled to claim a rider's allowance must, within 48 hours of his return to Great Britain, inform The Racing Calendar Office of any winners he has ridden in another country.

143.5 Where an Overseas Rider who would otherwise be entitled to claim a rider's allowance in a race has been declared as the Rider of a horse under Rule 92, he may not claim the allowance unless, by the time determined in accordance with Rule 92 for making declarations of riders, he or the Trainer of the horse (or the trainer's Authorised Agent) has provided The Racing Calendar Office with the Rider's age and the details of any winners ridden by him.

143.6 Unless the Authority directs otherwise, a Rider must claim a rider's allowance to which he is entitled until 3 clear days after the day on which he reaches the maximum number of winners appropriate to the allowance. So, for example, if he has on a Tuesday reached the maximum number of winners permissible, the rider's allowance can still be claimed on the immediately following Wednesday, Thursday and Friday, but not on the Saturday.

143.7 Where a weight allowance for a Rider is specified in the conditions of a race, but the race is not within Rule 140, 141 or 142, a Person who, 3 clear days before the race, is entitled to claim the weight allowance shall remain entitled to do so irrespective of any winners he may ride in those 3 days.

So, for example, if he has ridden the winner of a race on a Tuesday, the weight allowance specified in the conditions of the race can still be claimed on the immediately following Wednesday, Thursday and Friday, but not on the Saturday.

143.8 Any Rider who is entitled to claim a rider's allowance must do so, unless the Authority has directed that no rider's allowance will be claimed by a particular Rider in flat races or steeple chases, hurdle races or National Hunt Flat Races.