

Schedule 13 - Penalties

Penalty for winning fixed sum

1. Unless otherwise specified, any reference to a penalty for winning a fixed sum is to a penalty for winning that sum in one race.

Penalty for winning races of specific class

2.1 Any reference to a penalty for winning a flat race of a specific class is to a penalty for winning a flat race as listed by that class in Schedule 2.

2.2 Where the reference relates to winning a class 4 race, it includes winning any race which is regarded as a class 4 flat race under Paragraph 2.3.

2.3 For the purpose of determining any penalty to be carried, a horse which in Great Britain wins an Open Maiden Race or a Novice Flat Race run (in either case) before April 2nd 2016 shall be regarded as having won a class 4 flat race, regardless of the class of the race that they have won.

2.4 In relation to any flat race run before March 26th, 2005, a reference to a penalty for winning a flat race of a specific class or category is to winning a race of that class or category within the meaning of the Rules of Racing having effect at the time the race was run.

3.1 Any reference to a penalty for winning a steeple chase or hurdle race of a specific class is to a penalty for winning a steeple chase or hurdle race as listed by that class in Schedule 2.

3.2 For the purpose of determining any penalty to be carried, a horse which in Great Britain won a class D Weight-for-Age Novices', Beginners' or Maiden Steeple Chase or Hurdle Race before January 1st, 2006 shall be regarded as having won a class 4 race.

3.3 For the purpose of determining any penalty to be carried, a horse which in Ireland has won:

3.3.1 A Grade A Handicap Steeple Chase or Hurdle Race shall be regarded as having won a Grade 3 Pattern race

3.3.2 A Grade B or C Handicap Steeple Chase or Hurdle Race shall be regarded as having won a Listed race.

Penalty for winning other flat races

4. This Paragraph applies for the purpose of determining penalties, allowances and qualifications in connection with the winning of any other flat races.

4.1 With effect from January 1st, 2016

4.1.1 Races appearing in Part I of the International Cataloguing Standards Book will be regarded as being of the status (Group/Graded or Listed) as described

4.1.2 Races appearing in Part II of the International Cataloguing Standards Book will be regarded as follows:

4.1.2.1 Races described as Group 1 or Grade 1 will be regarded as of Group 2 status

4.1.2.2 Races described as Group 2 or Grade 2 will be regarded as of Group 3 status

4.1.2.3 Races described as Group 3 or Grade 3 will be regarded as of Listed status

4.1.2.4 Races described as Listed will be regarded as of neither Group nor Listed status.

4.1.3 Races appearing in neither Part I nor Part II of the International Cataloguing Standards Book will be regarded as follows:

4.1.3.1 Races described as Group 1 or Grade 1 will be regarded as of Group 3 status

4.1.3.2 Races described as Group 2 or Grade 2 will be regarded as of Listed status

4.1.3.3 Other races will be regarded as of neither Group nor Listed status.

Provisions applying to all penalties

5. If in the conditions of a race a fixed penalty has to be carried for winning

5.1 a race specified by name,

5.2 a race of a specific class,

5.3 a Listed Race, or

5.4 a race in a Pattern Race group,

any horse which runs a dead-heat for the race shall carry the penalty as if the race had been won outright.

6.1 Penalties are not cumulative unless so declared by the conditions of the race.

6.2 The winner of a flat race confined to Apprentice Jockeys, which takes place under these Rules or the Rules of the Irish Turf Club, shall not incur a penalty in any flat race unless the race is confined to Apprentice Jockeys and the conditions of the race provide for a specific penalty for winners.

6.3 The winner of

6.3.1 a Conditional Jockeys' race,

6.3.2 a Hands and Heels' steeple chase or hurdle race (as specified in the list of races published annually in The Racing Calendar), or

6.3.3 an opportunity race run under the Irish National Hunt Steeple Chase Rules,

shall not incur a penalty in any steeple chase, hurdle race or National Hunt Flat Race except for conditional jockeys' races and Hands and Heels' races in which the conditions provide for a specific penalty for winners.

6.4 Extra weights shall not be incurred in respect of a Match or Private Sweepstakes including the Newmarket Challenge Whip.

6.5 Any penalty incurred for winning a National Hunt Flat Race shall apply only to other National Hunt Flat Races.

6.6 No penalty shall be incurred in a Handicap Race in respect of winning a walk-over in Great Britain.

6.7 No horse shall carry extra weight for having run second or in any lower place in a race.